

## CURRICULUM VITAE

November 20, 2017

### **Malcolm Wardlaw**

Assistant Professor of Finance  
The University of Texas at Dallas

Visiting Assistant Professor  
University of Michigan  
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### **Educational History:**

B.A., May 2000, Rice University, Houston, TX, Finance

Ph.D., May 2011, University of Texas at Austin, Austin, TX, Finance

### **Academic Employment**

Assistant Professor, 2011-Present, University of Texas at Dallas, Dallas, TX

Visiting Assistant Professor, 2011-Present, University of Michigan, Ann Arbor, MI

### **Published Papers:**

Lowery, Richard, Ari Kang, and Malcolm Wardlaw, "The Costs of Closing Failed Banks: A Structural Estimation of Regulatory Incentives." *Review of Financial Studies*, 2015.

Cohn, Jonathan, and Malcolm Wardlaw, "Financing Constraints and Workplace Safety." *Journal of Finance* 2016

### **Working Papers**

Cohn, Jonathan, Nicole Nestoriak, and Malcolm Wardlaw. "Private equity buyouts and workplace safety"

*Revise and Resubmit at Review of Financial Studies*

Ganglmair, Bernhard, and Malcolm Wardlaw. "Measuring Contract Completeness: A Text Based Analysis of Loan Agreements"

(Presented at 2015 SFS Cavalcade, 2015 Econometric Society World Congress, 2015 NFA Meetings, 2016 AFA Meetings)

Chakraborty, Indraneel, Alessio Saretto, and Malcolm Wardlaw. "The Trust Alternative"

(Presented at 2014 Lone Star Finance Festival, 2015 CMU Credit Ratings Conference, 2016 FMA)

Traweek, Virginia, and Malcolm Wardlaw “Bank Utilization, Institutions, and Run Behavior in Newly Enfranchised Populations: Evidence from the Freedman's Bank and Trust”

Kieschnick, Robert, and Malcolm Wardlaw. “Complementarities in the Design of Corporate Bonds”

Ozoguz, Arzu, Michael Rebello, and Malcolm Wardlaw. “Information, competition, and investment sensitivity to peer stock prices”

Lowery, Richard, and Malcolm Wardlaw. “Investment, Cash Flow, and the Structure of Corporate Debt Covenants”  
(Presented at 2013 AFA Meetings)

Wardlaw, Malcolm. “The Impact of Bank Health on the Investment of Its Corporate Borrowers”  
(Presented at 2011 WFA Meetings)

**Works in progress:**

Cohn, Jonathan Nicole Nestoriak, and Malcolm Wardlaw. “Corporate Mergers and Workplace Safety.”

**Other Employment History – principal positions since the Bachelor’s degree:**

Business Analyst, 2000-2002, McKinsey and Company, Houston TX

Manager of Sales and Marketing, 2004-2005, R7 Solutions, Houston TX

**Invited or refereed talks/presentations to professional meetings and seminars:**

**2017:** University of Oklahoma, Western Finance Association Annual Meetings

**2016:** American Finance Association Annual Meetings, Texas A&M Young Scholars Finance Conference, Lone Star Finance Conference, Vanderbilt University

**2015:** Society of Financial Studies Cavalcade, Texas Alumni Finance Conference, Northern Financial Association Annual Meetings, Econometric Society World Congress, European Finance Association Annual Meetings

**2014:** Lone Star Finance Conference, University of Texas at San Antonio

**2013:** American Finance Association Annual Meetings, Econometric Society Summer Meeting, Bureau of Labor Statistics, CSEF Conference on Labor and Finance

**2012:** European Finance Association Annual Meeting, University of Rochester, University of Texas at Austin

**2011:** Federal Reserve Board of Governors, University of Washington, Western Finance Association Annual Meetings

**2010:** Baylor University

**Professional memberships:**

American Finance Association, 2010 - Present  
Western Finance Association, 2011 - Present  
Society of Financial Studies, 2011 – Present  
European Finance Association 2012-2013  
Econometric Society, 2013 - Present

**Professional recognitions and honors (study, teaching, research, service):**

Masters and Presidents Award, 2000, Jones College, Rice University  
Clyde W. and Bonnie Patton Smith Endowed Presidential Fellowship, 2005-2010, University of Texas  
FMA Doctoral Consortium, 2009, Financial Management Association  
SAC Capital PhD Candidate Award for Outstanding Research, 2011, Western Finance Association

**Doctoral committee member:**

Seong Byun, Graduated 2014  
Paul Mason, Graduated 2015  
Alex Holcomb, Graduated 2015  
Kevin Green, Expected 2018

**Classroom teaching:**

2012-2017      Financial Management (MBA/Masters Core Finance)  
                    Instructor ratings: 4.5-4.9 (out of 5.0)  
2014-2015      Topics in Empirical Corporate Finance (PhD Corporate Empirics)  
                    Instructor ratings: 4.8-4.9 (out of 5.0)  
2013             Topics in Theoretical Corporate Finance (PhD Corporate Theory)  
2013             Special Topics in Finance (PhD New Topics in Finance)  
2010-2011      Energy Risk Management (Undergraduate Derivatives and Risk Management)

**Ad Hoc Referee:**

*Journal of Finance*  
*Journal of Financial Economics*  
*Review of Financial Studies*  
*Financial Management*

**Discussant:**

*SFS Cavalcade*  
*FMA Annual Meetings*  
*Lone Star Finance Conference*